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10/21/2004

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01/25/2005 HLE444	00000001 09834950	(Depositor's name)
01 FC:1501	1400.00 00	(Signature)
02 FC:1504	300.00 00	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/834,950	04/16/2001	Yoshihiko Yano	206138US0	5372

TITLE OF INVENTION: FLUORESCENT THIN FILM, ITS FABRICATION PROCESS, AND EL PANEL

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370 \$1400	\$300	\$1670 \$1700	01/21/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS			
THOMPSON, CAMIE S	1774	428-690000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

OBLON, SPIVAK,

McCLELLAND, MAIER

& NEUSTADT, P.C.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The Westaim Corporation

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Fort Saskatchewan, CANADA

The document has been filed for recordation as set forth in §3.11.

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☐ Advance Order - # of Copies -0-

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- ☐ A check in the amount of the fee(s) is enclosed.
- ☒ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 15-0030 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.
- ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above. NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Joseph Scafetta Jr.
 Joseph Scafetta, Jr.

Date

JAN 19 2005

Typed or printed name

Registration No.

Reg. No. 26,803

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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Dept.: CHEMICAL

By: NFO/REM/lrc

OSMM&N File No. 206138US0

Serial No. 09/834,950

In the matter of the Application of: Yoshihiko YANO

For: FLUORESCENT THIN FILM, ITS FABRICATION PROCESS, AND EL
PANEL

Due Date: N/A

The following has been received in the U.S. Patent Office on the date stamped hereon:

- ☐ Dep. Acct. Order Form
- ☐ PTO Cover Letter
- ☐ Comments on the Examiner's Statement of Reasons for Allowance



COPY



Docket No.: 206138US0

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

RE: Application Serial No.: 09/834,950
Applicants: Yoshihiko YANO
Filing Date: April 16, 2001
For: FLUORESCENT THIN FILM, ITS FABRICATION
PROCESS, AND EL PANEL
Group Art Unit: 1774
Examiner: THOMPSON, CAMIE S

SIR:

Attached hereto for filing are the following papers:

Comments on the Examiner's Statement of Reasons for Allowance

Our check in the amount of \$0.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary Extension of Time to make the filing of the attached documents timely, please charge or credit the difference to our Deposit Account No. 15-0030. Further, if these papers are not considered timely filed, then a petition is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

COPY
COPY

Roland E. Martin

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DOCKET NO: 206123US0

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
YOSHIHIKO YANO : EXAMINER: THOMPSON, CAMIE S
SERIAL NO: 09/834,950 :
FILED: APRIL 16, 2001 : GROUP ART UNIT: 1774
FOR: FLUORESCENT THIN FILM, ITS :
FABRICATION PROCESS, AND EL
PANEL

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COMMENTS ON THE EXAMINER'S STATEMENT OF REASONS FOR ALLOWANCE

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

The Examiner's Statement of Reasons for Allowance in the Notice of Allowance mailed October 21, 2004 has been reviewed.

The Examiner has not included a Statement of Reasons of Allowance for Claim 20, which recites "An EL panel comprising a fluorescent thin film comprising a matrix material which comprises a rare earth sulfide or a rare earth selenide, and which further comprises a rare earth element as a light transmission center, the rare earth element as a light transmission center being different from the rare earth element of the matrix material, wherein said rare earth sulfide comprises at least one compound selected from the group consisting of a rare

earth thioaluminate, a rare earth thiogallate and a rare earth thioindate, and wherein said rare earth element of the matrix material is an element selected from the group consisting of Y, La, Ce, Pr, Nb, Gd, Tb, Ho, and Er.”

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
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